

DECLARATION

Attorney Docket No. 16355-002400

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **CONTRACEPTIVE TRANSCERVICAL FALLOPIAN TUBE OCCLUSION DEVICES AND THEIR DELIVERY** the specification of which was filed on June 7, 1995 as Application Serial No. 08/474,779 and was amended on ___ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign applications(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

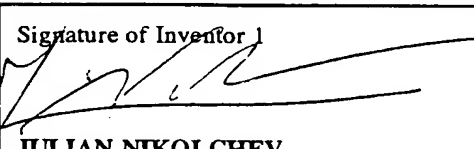
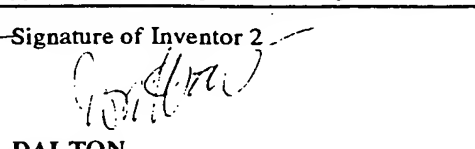
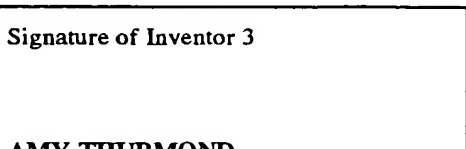
Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes ___ No ___
			Yes ___ No ___

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing	Status
		___ Patented ___ Pending ___ Abandoned
		___ Patented ___ Pending ___ Abandoned

Full Name of Inventor 1	Last Name NIKOLCHEV	First Name JULIAN	Middle Name or Initial	
Residence & Citizenship	City Portola Valley	State/Foreign Country California	Country of Citizenship United States of America	
Post Office Address	Post Office Address 251 Durazno Way	City Portola Valley	State/Country California	Zip Code 94028
Full Name of Inventor 2	Last Name TON	First Name DAI	Middle Name or Initial	
Residence & Citizenship	City San Jose	State/Foreign Country California	Country of Citizenship United States of America	
Post Office Address	Post Office Address 1693 Flickinger Avenue	City San Jose	State/Country California	Zip Code 95131
Full Name of Inventor 3	Last Name THURMOND	First Name AMY	Middle Name or Initial	
Residence & Citizenship	City Portland	State/Foreign Country Oregon	Country of Citizenship United States of America	
Post Office Address	Post Office Address 12031 So. West Breyman Ave.	City Portland	State/Country Oregon	Zip Code 97219

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 	Signature of Inventor 2 	Signature of Inventor 3 
JULIAN NIKOLCHEV	DAI TON	AMY THURMOND
Date <u>9/1/95</u>	Date <u>9/1/95</u>	Date

DECLARATION

Attorney Docket No. 16355-002400

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Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

Full Name of Inventor 1	Last Name NIKOLCHEV	First Name JULIAN	Middle Name or Initial	
Residence & Citizenship	City Portola Valley	State/Foreign Country California	Country of Citizenship United States of America	
Post Office Address	Post Office Address 251 Durazno Way	City Portola Valley	State/Country California	Zip Code 94028
Full Name of Inventor 2	Last Name TON	First Name DAI	Middle Name or Initial	
Residence & Citizenship	City San Jose	State/Foreign Country California	Country of Citizenship United States of America	
Post Office Address	Post Office Address 1693 Flickinger Avenue	City San Jose	State/Country California	Zip Code 95131
Full Name of Inventor 3	Last Name THURMOND	First Name AMY	Middle Name or Initial	
Residence & Citizenship	City Portland	State/Foreign Country Oregon	Country of Citizenship United States of America	
Post Office Address	Post Office Address 12031 So. West Breyman Ave.	City Portland	State/Country Oregon	Zip Code 97219

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
JULIAN NIKOLCHEV	DAI TON	AMY THURMOND
Date	Date	Date 9-20-95

POWER OF ATTORNEY BY ASSIGNEE

CONCEPTUS, INC. is the Assignee of the invention entitled:

CONTRACEPTIVE TRANSCERVICAL FALLOPIAN TUBE
OCCLUSION DEVICES AND THEIR DELIVERY

the specification of which was filed on June 7, 1995 as Application Serial No. 08/474,779.

The Assignment accompanying this Power of Attorney has been reviewed by the undersigned. The undersigned certifies that to the best of the undersigned's knowledge and belief, title is in the Assignee. The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

Assignee hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

James M. Heslin, Reg. No. 29,541
Gary T. Aka, Reg. No. 29,038
Robert C. Colwell, Reg. No. 27,431
Paul C. Haughey, Reg. No. 31,836
David N. Slone, Reg. No. 28,572
William M. Smith, Reg. No. 30,223
Mark D. Barrish, Reg. No. 36,443
Darin J. Gibby, Reg. No. 38,464

Send Correspondence to:

James M. Heslin, Esq.
TOWNSEND and TOWNSEND KHOURIE and CREW
One Market Plaza
Steuart Street Tower, 20th Floor
San Francisco, CA 94105

Direct Telephone Calls to:
(Name, reg. no., tele. no.)

James M. Heslin
Reg. No. 29,541
(415) 326-2400

CONCEPTUS, INC.

Date:

6/1/95

By:

[Signature]
(Signature)

Name:

Tulion V. Kotchev

Title:

VP, Advanced Programs
and Corporate Development



635 500240065
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
MDR

MARCH 11, 1996

PTAS

TOWNSEND AND TOWNSEND AND CREW
JAMES M. HESLIN
TWENTIETH FLOOR, STEUART STREET TOWER
ONE MARKET PLAZA
SAN FRANCISCO, CA 94105-1492



100090153A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/03/1995

REEL/FRAME: 7688/0804
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
NIKOLCHEV, JULIAN

DOC DATE: 09/01/1995

ASSIGNOR:
TON, DAI

DOC DATE: 09/04/1995

ASSIGNOR:
THURMOND, AMY

DOC DATE: 09/20/1995

ASSIGNEE:
CONCEPTUS, INC.
1021 HOWARD AVENUE
SAN CARLOS, CALIFORNIA 94070

SERIAL NUMBER: 08474779
PATENT NUMBER:

FILING DATE: 06/07/1995
ISSUE DATE:

TOWNSEND & TOWNSEND
P.C.
95 MAR 18 AM 10:44
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7688/0804 PAGE 2

LAWAN FLETCHER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

MRD 10-3-95

Attorney Docket No. 16355-002400

FORM PTO-1595
(Rev. 5-93)

11-15-1995

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents:



original documents or copy thereof.

1. Name of conveying party(ies):

100090153

of receiving party(ies):

JULIAN M. TORCHEVA, DAI TON, AMY THURMOND

Name: CONCEPTUS, INC.

Internal Address:

Street Address: 1021 Howard Avenue

City: San Carlos State: California ZIP: 94070

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Dates: September 1, 4, 20, 1995

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/474,779

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James M. Heslin
TOWNSEND and TOWNSEND and CREW
Twentieth Floor
Steuart Street Tower
One Market Plaza
San Francisco, California 94105-1492
(415) 326-2400

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41):..... \$ 40.00

- ☐ Enclosed ☒ Charge Fees to Deposit Account
☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

CH10260 10/26/95 08474779 20-1430 100 581 40.00LN

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark D. Barrish
Name of Person Signing

Signature

September 29, 1995
Date

Atty. Reg. No. 36,443

Total number of pages including cover sheet, attachments, and document:

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:
Do not detach this portion
Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS,

**JULIAN NIKOLCHEV, 251 Durazno Way, Portola Valley, California 94028,
DAI TON, 1693 Flickinger Avenue, San Jose, California 95131, and
AMY THURMOND, 12031 So. West Breyman Ave., Portland, Oregon 97219,**

hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **CONTRACEPTIVE TRANSCERVICAL FALLOPIAN
TUBE OCCLUSION DEVICES AND THEIR DELIVERY**

Filing date: June 7, 1995 Serial No.: 08/474,779; and

WHEREAS, **CONCEPTUS, INC.**, a California corporation, located at 1021 Howard Avenue, San Carlos, California 94070, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

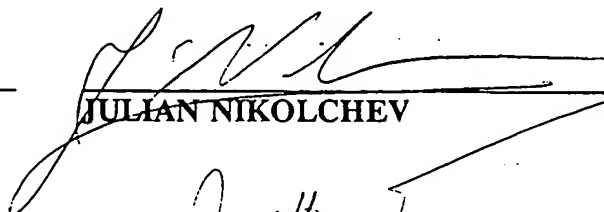
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee


lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: 9/1/95


JULIAN NIKOLCHEV

Date: 9/1/95


DAI TON

Date: _____

AMY THURMOND

J:\16355\16355-24.ASN
ASSIGN.MRG 5/94

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS,

**JULIAN NIKOLCHEV, 251 Durazno Way, Portola Valley, California 94028,
DAI TON, 1693 Flickinger Avenue, San Jose, California 95131, and
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For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: _____

JULIAN NIKOLCHEV

Date: _____

DAI TON

Date: 9-20-95

A. Thurmond
AMY THURMOND

J:\16355\16355-24.ASN
ASSIGN.MRG 5/94